UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK		
	X	
In re	:	Chapter 11 Case No.
	:	00 12555 (CCC)
LEHMAN BROTHERS HOLDINGS INC., et al.,	:	08-13555 (SCC)
Debtors.	:	(Jointly Administered)
	: x	Ref. Docket Nos. 43424, 43457, 43459-43461, 43464, 43476 and 43477
AFFIDAVIT OF SE	RVICE	
STATE OF NEW YORK )		

PETE CARIS, being duly sworn, deposes and says:

) ss.:

- 1. I am employed as Noticing Supervisor by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
- 2. I caused to be served the:

COUNTY OF NEW YORK

- a. "Neuberger Berman Claimants' Reply and Opposition Memorandum of Law," dated March 4, 2014 [Docket No. 43424], (the "Memo"),
- b. "Declaration of Claimant Darian J. Cohen in Opposition to Debtors' Fourteen Omnibus Objections Seeking to Reclassify or Subordinate Compensation Claims as Equity," dated March 4, 2014 [Docket No. 43457], (the "Cohen Declaration"),
- c. "Declaration of Claimant Virgilio Casuple in Opposition to Debtors' Fourteen Omnibus Objections Seeking to Reclassify or Subordinate Compensation Claims as Equity," dated March 3, 2014 [Docket No. 43459], (the "Casuple Declaration"),
- d. "Declaration of Claimant Lars P. Jacobson in Opposition to Debtors' Fourteen Omnibus Objections Seeking to Reclassify or Subordinate Compensation Claims as Equity," dated March 4, 2014 [Docket No. 43460], (the "Jacobson Declaration"),
- e. "Declaration of Claimant Mary E. Langevin in Opposition to Debtors' Fourteen Omnibus Objections Seeking to Reclassify or Subordinate Compensation Claims as Equity," dated March 4, 2014 [Docket No. 43461], (the "Langevin Declaration"),

- f. "Declaration of Claimant Amit Kumar Sarkar in Opposition to Debtors' Fourteen Omnibus Objections Seeking to Reclassify or Subordinate Compensation Claims as Equity," dated March 3, 2014 [Docket No. 43464], (the "Sarkar Declaration"),
- g. "Declaration of Claimant Andrew Wideman in Opposition to Debtors' Fourteen Omnibus Objections Seeking to Reclassify or Subordinate Compensation Claims as Equity," dated March 4, 2014 [Docket No. 43476], (the "Wideman Declaration"),
- h. "Declaration of Claimant Christian Stevens in Opposition to Debtors' Fourteen Omnibus Objections Seeking to Reclassify or Subordinate Compensation Claims as Equity," dated March 1, 2014 [Docket No. 43477], (the "Stevens Declaration"), and
- i. Letter from Eugene Neal Kaplan of Kaplan Landau LLP to Honorable Shelley C. Chapman, dated March 4, 2014, annexed hereto as Exhibit A, (the "Letter"),

by causing true and correct copies of the:

- i. Memo, Cohen Declaration, Casuple Declaration, Jacobson Declaration, Langevin Declaration, Sarkar Declaration, Wideman Declaration, Stevens Declaration and Letter, to be delivered via electronic mail to those parties listed on the annexed Exhibit B, on March 4, 2014,
- ii. Memo and Letter, to be delivered via electronic mail to the party listed on the annexed Exhibit C, on March 4, 2014,
- iii. Memo, Cohen Declaration, Casuple Declaration, Jacobson Declaration, Langevin Declaration, Sarkar Declaration, Wideman Declaration, Stevens Declaration and Letter, to be enclosed securely in separate postage pre-paid envelopes and delivered via overnight mail to those parties listed on the annexed Exhibit D, on March 4, 2014,
- iv. Memo and Letter, to be enclosed securely in a postage pre-paid envelope and delivered via overnight mail to the party listed on the annexed <u>Exhibit E</u>, on March 4, 2014, and
- v. Memo, Cohen Declaration, Casuple Declaration, Jacobson Declaration, Langevin Declaration, Sarkar Declaration, Wideman Declaration, Stevens Declaration and Letter, to be enclosed securely in a postage pre-paid envelope and delivered via overnight mail to the party listed on the annexed Exhibit F, on March 10, 2014.

3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Pete Caris
Pete Caris

Sworn to before me this
13<sup>th</sup> day of March, 2014
/s/ Cassandra Murray
Notary Public, State of New York
No. 01MU6220179
Qualified in Queens County
Commission Expires April 12, 2014

# **EXHIBIT A**

08-13555-mg Doc 52008 Filed 02/11/16 Entered 02/11/16 21:48:42 Main Document Pg 5 of 16

### KAPLAN LANDAU LLP

ATTORNEYS AT LAW
1065 AVENUE OF THE AMERICAS
NEW YORK, NEW YORK 10018-0161

(212) 593-1700

FAX: (212) 593-1707 WWW.KAPLANLANDAU.COM

MARK S. LANDAU EUGENE NEAL KAPLAN PATRICK TRAIN-GUTIÉRREZ

PAUL C. EVANS
VALERIE A. PHILLIPS
MARY CECILIA SWEENEY

ALFRED M. MARKS LOUIS I. KAPLAN (1914-2007) COUNSEL

March 4, 2014

#### VIA FEDEX

Honorable Shelley C. Chapman United States Bankruptcy Judge United States Bankruptcy Court Southern District of New York One Bowling Green, Courtroom 621 New York, New York 10004

Re: In re Lehman Brothers Holdings, Inc., Chapter 11 Case No. 08-13555

Dear Judge Chapman:

Enclosed please find a Chambers' copy of the Neuberger Berman Claimants' Reply and Opposition Memorandum in connection the April 1-3, 2014 hearing ("Hearing") scheduled on Lehman's motions to reclassify the Neuberger Berman Claimants' compensation claims as equity interests. All such papers were electronically served by e-mail in accordance with the January 24, 2014 Stipulation and Order Establishing Procedures for the Hearing (ECF No. 42176).

Respectfully yours

Eugene Neal Kaplan

Encls.

cc: All E-Served Parties

**EXHIBIT B** 

Creditor Name Per POC	Attorney Email for Service
ABRAMS, STANLEY	stan.abrams@ubs.com
ALI, ASGAR	asgaraali@gmail.com
ALLARD, ANDREW	angallard@aol.com
ANDERSON, IAN W.	ian.anderson@btinternet.com
ANDRIOLA, ROCCO F.	knash@gwfglaw.com
Investigati, Recedit	c.bardehle@me.com;
BARDEHLE, CHRISTIAN	c.bardehle@web.de
BAZYLEVSKY, BO	bobaz@mac.com
BIRD, RICHARD	4thbird@googlemail.com
	lrbogey@earthlink.net;
BOGERT, LISA R	lbogert@nb.com
200211, 223111	ml.bowyer@gmail.com
BOWYER, MICHAEL L.	ambowyer@hotmail.com
BRANCATO, JENNIFER	jennifer.brancato@gmail.com
BUTLER-MCLAUGHLIN, CECELIA E.	cebmac1@msn.com
BOTEER WEEPTOOTEN, CECEENTE.	acarango@mba2003.hbs.edu;
	acarango@gmail.com
CARANGO, ANTHONY T.	acarango@mba2003.hbs.edu
CASSANOVA, CATRINA	catrina.cassanova@nb.com
CATALAO MAIA, ALEXANDRE	alex_maia@yahoo.com
CHAN, SHIRLEY	shirley.chan@nomura.co
CHEN, KENG-CHONG	kchen328@gmail.com
CHEAN, REANG-CHOING	gustavo.cohen@ba053.com
COHEN, GUSTAVO SEBASTIAN	gusicohen@hotmail.com
COLTON, DAVID	dscolton@yahoo.com
CRASTON, EDMUND ANTHONY	ecraston@yahoo.co.uk
DAY, GEMMA	bekhols@aol.com
DA1, GEWIWIA	mathieu.desforges@yahoo.com
DESEODCES MATHIELL	mathieu.desforges@lbia-eu.com
DESFORGES, MATHIEU DICCIANNI, CHARLES	cdiccianni@hvc.rr.com
DICENSO, JOSEPH	jdicenso@gmail.com
DUFFY, KATHLEEN C.	kcduffy539@nyc.rr.com
DYER, ROBERT C.	robert_dyer@ml.com
DIER, ROBERT C.	james.ertmann@barclays.com
EDTMANNI IAMEC	james.ertmann@barctays.com james.ertmann@barctayswealth.com
ERTMANN, JAMES ESCOBAR, CALIXTO M. JR.	cescobar@barcap.com
FALLTRICK, BARRY J	barryfalltrick@aol.com
	steve.felderhof@hushmail.com
FELDERHOF, STEPHEN FLYNN, PATRICK	patrick.flynn@nb.com
	inigo.fraser-jenkins@nomura.com
FRASER-JENKINS,INIGO L GATTUSO, MARGARET E.	mgattuso@nb.com
GOLDBERG, JASON	jason.goldberg@barclayscapital.com
·	goldsultan2@wideopenwest.com
GOLDMAN, SHERYL	godsutanz@wideopenwest.com grossbme@yahoo.com
GROSS, BRIAN M.	roopali.hall@gmail.com
HALL, ROOPALI A.	bkhargrave@gmail.com
HARGRAVE, BRIAN	min616@mac.com
HASHIDA, AKIKO	nick.hoar@nb.com
HOAR, NICK JOHN	
HOM, MICHAEL C.	mikehom@yahoo.com
HUTTON, RANDALL J.	rhutton@libertyview.com subramanium.iyer@nomura.com
IYER, SUBRAMANIUM	subramanium.iyer@nomura.com Andrea.Jao@gmail.com
JAO, ANDREA T.	
JENCKES, GEORGE A., IV	george.jenckes@ustrust.com i.judd@ntlworld.com
JUDD, IAN	olimpiamilano18@gmail.com
KENNEY, ARTHUR J.	
KETTLER, R. KYLE	kylekettler@comcast.net
KILGALLON, JOHNINE	kilgallon@nyc.rr.com
KOTIAN, SURAJ	kotiansuraj@yahoo.com
	suraj.kotian@nomura.com
WHITEGER WARENIN GRACIA	kmskrieger@gmail.com;
KRIEGER, KAREN M. SIMON	karenmsk@aol.com
LANKEN, JONATHAN P	jonathan.lanken@gmail.com

Creditor Name Per POC	Attorney	Email for Service
LATIFA, DEBBARH		latifa.debbarh@btinternet.com
LEGOTTE, LEONARD J.		dblxpresso@msn.com
LENG, MANHUA		manhua_leng@yahoo.com
LEUNG, PAMELA		pam.leung@gmail.com
LEVY, STEWART F.		stewart.levy@barcap.com
		djcarragher@daypitney.com;
LIOTTI, FABIO	Daniel Carragher, M Ginzburg, Day Pitney	mginzburg@daypitney.com
LISTER, JAMES G.	Danier Caragner, in Children, Buy Trailey	mgrenert@liddlerobinson.com
LOWE, TIA GELAINE		tia.lowe@nb.com
LYNCH, MARY A.		mlynch501@gmail.com
MALCOLM, ANDREW		andrew.malcolmuk@gmail.com
MARNEY, LESLEY N		lesley.marney@btinternet.com
MCCOOEY, KEVIN C.		kevin.mccooey@barclayswealth.com
MENZIES, FIONA M.		fmenzies@talktalk.net
MISCHLER, VINCENT		vincent.mischler@yahoo.com
MUINOS, BARBARA		3bbbs@optonline.net
MURPHY, PATRICIA		•
		pakm@optonline.net
NEDEV, BORIS		boris.nedev@gmail.com
NETZEL,GAVIN R		gnetzel@hotmail.com
NORTH-CLAUSS, BRYAN		bryan_northclauss@hotmail.com
O'MARA, MICHAEL J.		om507@aol.com
		patankarsameer@rediff.com
PATANKAR, SAMEER		sameer.patankar@nomura.com
PENTON, ANDREA M		andreapenton@btinternet.com
POCHINAPEDDI, VENKATA		pvrk_6@yahoo.com
POPE, SAMANTHA J.		sammalthouse@yahoo.co.uk
PULJIC, GORAN		goranp@optonline.net
RADICK, JONATHAN		aer63@verizon.net
REINER, BRETT S.		breiner@nb.com
REYCROFT, EMILY M.		emilyreycroft@yahoo.com
RONCAGLIOLO,JACQUELINE S.		jroncagl@optonline.net
, ,		sunil.sarode1981@gmail.com
SARODE, SUNIL		sunil.sarode@nomura.com
SAVILLO, JOSEPHINE M.		isavillo@verizon.net
SCHWAB, STEVEN B		Steven.Schwab@opco.com
Bell Wild, BIE VELVE		shindesudarshan@gmail.com
SHINDE, SUDARSHAN SUBHASH		sudarshan.shinde@nomura.com
SIMADE, SUDIKSIIMA SUBIIKSII		paulshotton@optonline.net
SHOTTON, PAUL NIGEL		paul.shotton@ubs.com
		norman.siegel@barclays.com
SIECEL NORMANI		norman.siegel@barclayswealth.com
SIEGEL, NORMAN		
SINGH, ANIL KUMAR		anil.singh@btconnect.com
SURI, TARVINDER SINGH		tarvinder.suri@nomura.com
TESCHNER, STEFAN		stefan.teschner@web.de
TUIL, LAURENT		laurent_tuil@hotmail.com
TURNBULL, NIGINA		niginaturnbull@barcap.com
VALECCE, JOHN		avalecce@aol.com
		dwairkar@gmail.com
WAIRKAR, DINESH VISHWANATH		dinesh.wairkar@nomura.com
WALKER, MATTHEW		matthew.walker@nomura.com
WEINREICH, RUTH		ruthiewein@yahoo.com
WHAMOND, CHRISTIAN		chriswhamond@optonline.net
WILSON, KELLY WEINBERGER		kelly.wilson@bnymellon.com
WILSON, ROBERT W.		mr.mrswilson@hotmail.com
WREN, ALEX H		alexwren@hotmail.com
	1	

**EXHIBIT C** 

08-13555-mg Doc 52008 Filed 02/11/16 Entered 02/11/16 21:48:42 Main Document Pg 10 of 16

NAME	CONTACT	EMAIL ADDRESS
KAPLAN LANDAU LLP	EUGENE NEAL KAPLAN	enkaplan@kaplanlandau.com

## **EXHIBIT D**

## LEHMAN BROTHERS HOLDINGS INC. 08-13555-mg Doc 52008 Filed 02/11/16 Entered 02/11/16 21:48:42 Main Document Pg 12 of 16

Claim Name	Address Information
ABRAMS, STANLEY	712 N. CRESCENT DRIVE BEVERLY HILLS CA 90210
CASSANOVA, CATRINA	605 THIRD AVENUE, 22ND FLOOR NEW YORK NY 10158
CINTRON, JACQUELINE	84-01 MAIN ST APT 406 JAMAICA NY 11435
DELLARUSSO, RICHARD J.	8 SUNSET ROAD DARIEN CT 06820
DESFORGES, MATHIEU	2 DICKENS MEWS LONDON EC1 M5SZ UNITED KINGDOM
DUNKIN, ROBEN L	107 CLARK STREET GLEN RIDGE NJ 07028
ESCOBAR, CALIXTO M. JR.	84 SHERIDAN AVE. STATEN ISLAND NY 10305
FLACKMAN, CYNTHIA	105 PINE BROOK ROAD MONTVILLE NJ 07045
FRYER, DANIEL J.	3 RIDGEWAY GARDENS ESSEX WESTCLIFF ON SEA SS08PZ UNITED KINGDOM
HOOPER, HELEN	1722 EAST 51ST STREET BROOKLYN NY 11234
JOHNSON, BRIAN A.	888 TOWER ROAD WINNETKA IL 60093
KELLARD, CRAIG J	8 JESMOND ROAD ESSEX CANVEY ISLAND SS8 OAH UNITED KINGDOM
KILGALLON, JOHNINE	21 TENNYSON DR NANUET NY 10954-1116
LEE, MAN YANG	306 GOLD ST APT 31C BROOKLYN NY 11201-3051
LEGOTTE, LEONARD J.	370 ALBOURNE AVE. STATEN ISLAND NY 10309
MCLAUGHLIN, MARY DEIRDRE	84 BASEVI WAY GREENWICH LONDON SE8 3JS UNITED KINGDOM
MEARS, RUSSELL	44 HOWARD ROAD UPMINSTER ESSEX, ESSEX RM14 2UF UNITED KINGDOM
MODASIA, DIPAK	801 CASCADES TOWER 4 WESTFERRY ROAD LONDON E14 8JN UNITED KINGDOM
MONTALVO, MIRIAM	1918 KIMBALL STREET BROOKLYN NY 11234
MUINOS, BARBARA	10 GIMBALL RD LTL EGG HBR NJ 08087-3670
SHUM, DANIEL T.	11763 E CHARTER OAK DRIVE SCOTTSDALE AZ 85259
SIBIRSKI, LINDA J.	2165 BRIGHAM STREET APT 6E BROOKLYN NY 11229
STEFFENS, EDWARD M.	77 MOTLEY STREET MALVERNE NY 11565
TOAL, IAN G	20 PAIGNTON CLOSE ESSEX RAYLEIGH SS69PW UNITED KINGDOM
TRAVERSA, ROBERT	85 RIVER STREET SLEEPY HOLLOW NY 10591-2415
TURNBULL, NIGINA	70 W 93RD ST APT 28G NEW YORK NY 10025-0012
WANG, YULAN	95 SPRING ST MILLBURN NJ 07041-1129
WATTEVILLE, JEAN DE	42 ELSWORTHY ROAD LONDON NW3 3DL UNITED KINGDOM

**Total Creditor count 28** 

**EXHIBIT E** 

KAPLAN LANDAU LLP ATTN: EUGENE NEAL KAPLAN 1065 AVENUE OF THE AMERICAS NEW YORK, NY 10018 **EXHIBIT F** 

CHAN, SHIRLEY 20B DRAGONVIEW COURT 5 KOTEWALL MID-LEVELS HONG KONG